

**TRANSMITTAL LETTER  
(General - Patent Pending)**

*125 B*  
Docket No.  
**(020001)-07-LAV**

In Re Application Of: **Samantha K. Holme et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
<b>10/719,602</b>	<b>November 21, 2003</b>	<b>Michel Graffeo</b>	<b>N/A</b>	<b>1614</b>	<b>3118</b>

Title:

**COMPOSITIONS FOR REMOVING STAINS FROM DENTAL SURFACES, AND METHODS OF MAKING  
AND USING THE SAME**

**AUG 03 2007**

COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Transmittal of Information Disclosure Statement, Information Disclosure Statement Citation (224 references cited),  
Submission of Assignee Information w/copy of Recordation of Assignment from parent case and postcard.**

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of **\$180.00** is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **23-0510**  
as described below.
- ☐ Charge the amount of
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*Allen R. Kipnes*  
Signature

Dated: **August 1, 2007**

Allen R. Kipnes, Esq.  
Registration No. 28,433

WATOV & KIPNES, P.C.  
P.O. Box 247  
Princeton Junction, New Jersey 08550  
(609) 243-0330  
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August 1, 2007	
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<i>Jill S. Garretson</i>	
Signature of Person Mailing Correspondence	
Jill S. Garretson	
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(d))

Docket No.  
(020001)-07-LAV

In Re Application Of: **Samantha K. Holme et al.**

Application No. 10/719,602	Filing Date November 21, 2003	Examiner Michel Graffeo	Customer No. N/A	Group Art Unit 1614	Confirmation No. 3118
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Title: **COMPOSITIONS FOR REMOVING STAINS FROM DENTAL SURFACES, AND METHODS OF MAKING AND USING THE SAME**

Address to:  
**Commissioner for Patents**

The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(c), and on or before payment of the issue fee, and is accompanied by the Statement as specified in 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17(p).

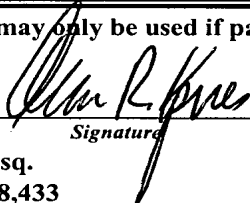
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**Allen R. Kipnes, Esq.**  
Registration No. 28,433

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Dated: **August 1, 2007**

CC:



ARK:jsg080107/1901048A.SUB-ASSIGN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Applicant : Samantha K. Holme et al.  
Serial No. : 10/719,602  
Filed : November 21, 2003  
For : COMPOSITIONS FOR REMOVING  
STAINS FROM DENTAL SURFACES,  
AND METHODS OF MAKING AND  
USING THE SAME  
Examiner : Michel Graffeo  
Art Unit : 1614  
Confirmation No. : 3118  
Attorney Docket No. : (020001)-07-LAV

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VIRGINIA 22313-1450

ON August 1, 2007

NAME Jill S. Garrettson

SIGNATURE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

August 1, 2007

SUBMISSION OF ASSIGNMENT INFORMATION

Dear Sir:

Applicants assign herewith a copy of the Notice of Recordation of Assignment dated October 30, 2003 showing an Assignment in favor of the current Assignee, Cadbury Adams USA LLC. The Assignment was recorded in Applicants' parent

ARK:jsg080107/1901048A.SUB-ASSIGN

application Serial No. 10/285,217 filed October 31, 2002 now U.S. Patent No. 6,685,916.

It is believed that no fee is due in connection with this matter. However, if any fee is due, it should be charged to Deposit Account No. 23-0510.

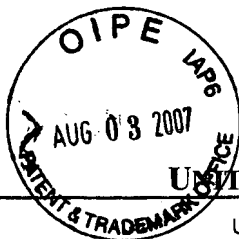
Respectfully submitted,



Allen R. Kipnes, Esquire  
Registration No. 28,433  
Attorney for Applicant

Address All Correspondence to:

Allen R. Kipnes, Esquire  
WATOV & KIPNES, P.C.  
P.O. Box 247  
Princeton Junction, NJ 08550  
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MAY 21, 2004

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\*102590752A\*

WATOV & KIPNES, PC  
ALLEN R. KIPNES, ESQ.  
P.O. BOX 247  
PRINCETON JUNCTION, NJ 08550

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/30/2003

REEL/FRAME: 014642/0898

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 02001-07-LAV

ASSIGNOR:

WARNER-LAMERT COMPANY

DOC DATE: 10/20/2003

ASSIGNEE:

CADBURY ADAMS USA LLC  
2711 CENTERVILLE ROAD, SUITE 400  
WILMINGTON, DELAWARE 19080

SERIAL NUMBER: 10285217

FILING DATE: 10/31/2002

PATENT NUMBER: 6685916

ISSUE DATE: 02/03/2004

TITLE: COMPOSITIONS FOR REMOVING STAINS FROM DENTAL SURFACES, AND METHODS OF MAKING AND USING THE SAME

014642/0898 PAGE 2

MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
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COVER SHEET

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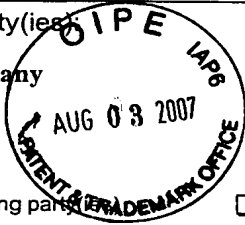
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Record the attached original documents or copy thereof.

To the Director of the United States Patent and Trademark Office

## 1. Name of conveying party(ies):

Warner-Lambert Company

Additional names(s) of conveying party ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: October 20, 2003

## 2. Name and address of receiving party(ies)

Name: Cadbury Adams USA LLC

Internal Address: \_\_\_\_\_

Street Address: 2711 Centerville Road, Suite 400City: Wilmington State: DE ZIP: 19080Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/285,217

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Allen R. Kipnes, Esq.Internal Address: WATOV & KIPNES, P.C.Street Address: P.O. Box 247City: Princeton Junction State: NJ ZIP: 08550

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

## 8. Deposit account number:

23-0510

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## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Allen R. Kipnes

Name of Person Signing

Signature

October 28, 2003

Date

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Assignment of United States Patent  
(Single assignor; single assignee)

Docket No.  
(02001-07-LAV)

U.S. Patent No.  
Unknown

Issue Date  
Unknown

Application Serial No.  
10/285,217

Filing Date  
October 31, 2002

Title of Invention:  
COMPOSITIONS FOR REMOVING STAINS FROM DENTAL SURFACES, AND METHODS OF MAKING AND USING THE SAME

Owner of Record (hereinafter "Assignor")

Residence or Principal Place of Business of Assignor

Warner-Lambert Company

201 Tabor Road  
Morris Plains, NJ 07950  
USA

Assignee

Residence or Principal Place of Business of Assignee

Cadbury Adams USA LLC


2711 Centerville Road  
Suite 400  
Wilmington, Delaware 19808  
USA

Whereas, the above-identified Assignor is the sole owner of record of the above-identified United States Patent, and;

Whereas, the above-identified Assignee is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$1.00) and other good and valuable consideration, the receipt whereof is hereby acknowledged, Assignor, by these presents does sell, assign and transfer unto said Assignee the entire right, title and interest in and to said Patent; the same to be held and enjoyed by said Assignee for its own use and behoof, and for its legal representatives and assigns, to the full end of the term for which said Patent is granted, as fully and entirely as the same would have been held by Assignor had this assignment not been made.

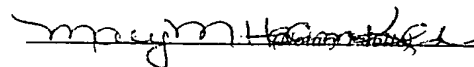
Executed this 20<sup>th</sup> day of October, in the year 2003  
at Morris Plains NJ USA

  
Signature of Assignor (or of authorized signatory if Assignor is a corporation, partnership or association)

State of New Jersey

County of Morris

Before me personally appeared Evan J. Federman  
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to execute the same this 20<sup>th</sup> day of October, in the year 2003

  
Notary Public



<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) (020001)-07-LAV		Application Number 10/719,602	
				Applicant(s) Samantha K. Holme et al.			
				Filing Date November 21, 2003		Group Art Unit 1614	
				<b>U.S. Patent Documents</b>			
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		1633336	06/21/27	Larson			
		1936456	11/21/33	Larson et al.			
		2191199	02/20/40	Hall			
		2197719	04/16/40	Conner			
		2876167	03/03/59	Manahan			
		2886446	05/12/59	Kramer et al.			
		3004897	10/17/61	Shore			
		3052552	09/04/62	Koerner et al.			
		3117027	01/07/64	Lindlof et al.			
		3124459	03/10/64	Erwin			
		3241520	03/22/66	Wurster et al.			
		3475533	10/28/69	Mayrand			
		3538230	11/03/70	Pader et al.			
		3664962	05/23/72	Kelly et al.			
		3664963	05/23/72	Pasin			
		3677771	07/18/72	Kolar			
		3795744	03/05/74	Ogawa et al.			
		3821417	06/28/74	Westall et al.			
		3826847	07/30/74	Ogawa et al.			
		3857964	12/31/74	Yolles			
		3862307	01/21/75	Di Giulio			
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			
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*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLAS S	SUBCLASS	FILING DATE IF APPROPRIATE
		3872021	03/18/75	McKnight			
		3878938	04/22/75	Venables et al.			
		3912817	10/14/75	Sapsowitz			
		3943258	03/09/76	Bahoshy et al.			
		3962416	06/08/76	Katzen			
		4037000	07/19/77	Burge et al.			
		4083995	04/11/78	Mitchell et al.			
		4107360	08/15/78	Schmidgall			
		4130638	12/19/78	Dhabhar et al.			
		4148872	04/10/79	Wagenknecht, deceased et al			
		4150112	04/17/79	Wagenknecht, deceased et al			
		4156715	05/29/79	Wagenknecht, deceased et al			
		4156716	05/29/79	Wagenknecht, deceased et al			
		4157385	06/05/79	Wagenknecht, deceased et al			
		4159315	06/26/79	Wagenknecht, deceased et al			
		4160054	07/03/79	Wagenknecht, deceased et al			
		4160820	07/10/79	Wagenknecht, deceased et al			
		4208431	06/17/80	Friello et al.			
		4217368	08/12/80	Witzel et al.			
		4224345	09/23/80	Tezuka et al.			
		4271199	06/02/81	Cherukuri et al.			
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*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4295845	10/20/81	Sepulveda et al.			
		4314990	02/09/82	Denny, Jr. et al.			
		4340583	07/20/82	Wason			
		4352825	10/05/82	Cherukuri et al.			
		4363756	12/14/82	Sepulveda et al.			
		4367219	01/04/83	Schole			
		4384004	05/17/83	Cea et al.			
		4452821	06/05/84	Gergely			
		4457857	07/03/84	Sepulveda et al.			
		4485118	11/27/84	Carroll et al.			
		4513012	04/23/85	Carroll et al.			
		4585649	04/29/86	Lynch			
		4590075	05/20/86	Wei et al.			
		4597970	07/01/86	Sharma et al.			
		4614649	09/30/86	Gorman et al.			
		4673577	06/16/87	Patel			
		4722845	02/02/88	Cherukuri et al.			
		4726953	02/23/88	Carroll et al.			
		4740376	04/26/88	Yang			
		4749575	06/07/88	Rotman			
		4751095	06/14/88	Karl et al.			
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		4752481	06/21/88	Dokuzovic			
		4753790	06/28/88	Silva et al.			
		4771784	09/20/88	Kozin et al.			
		4800087	01/24/89	Mehta			
		4804548	02/14/89	Sharma et al.			
		4816265	03/28/89	Cherukuri et al.			
		4822599	04/18/89	Mitra			
		4828845	05/09/89	Zumudio-Tena et al.			
		4828857	05/09/89	Sharma et al.			
		4842762	06/27/89	Sabol, Jr. et al.			
		4911934	03/27/90	Yang et al.			
		4915958	04/10/90	Faust et al.			
		4918182	04/17/90	Jackson et al.			
		4919841	04/24/90	Kamel et al.			
		4923684	05/08/90	Ibrahim et al.			
		4927646	05/22/90	Jenner et al.			
		4929447	05/29/90	Yang			
		4931293	06/05/90	Cherukuri et al.			
		4933190	06/12/90	Cherukuri et al.			
		4952407	08/28/90	Record et al.			
		4971797	11/20/90	Cherukuri et al.			
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				Filing Date <b>November 21, 2003</b>		Group Art Unit <b>1614</b>	
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*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4978537	12/18/90	Song			
		4985236	01/15/91	Ibrahim et al.			
		4997659	03/05/91	Yatka et al.			
		5009900	04/23/91	Levine et al.			
		5017385	05/21/91	Wienecke			
		5043154	08/27/91	Gaffar et al.			
		5043169	08/27/91	Cherukuri et al.			
		5057327	10/15/91	Yatka et al.			
		5057328	10/15/91	Cherukuri et al.			
		5059429	10/22/91	Cherukuri et al.			
		5064658	11/12/91	Cherukuri et al.			
		5073389	12/17/91	Wienecke			
		5080877	01/14/92	Chane-Ching et al.			
		5082671	01/21/92	Cherukuri			
		5084278	01/28/92	Mehta			
		5096699	03/17/92	Gaffar et al.			
		5096701	03/17/92	White, Jr. et al.			
		5100678	03/31/92	Reed et al.			
		5108763	04/28/92	Chau et al.			
		5126151	06/30/92	Bodor et al.			
		5139793	08/18/92	Johnson et al.			
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				Applicant(s) Samantha K. Holme et al.			
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				<b>U.S. Patent Documents</b>			
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5139794	08/18/92	Patel et al.			
		5139798	08/18/92	Yatka et al.			
		5154939	10/13/92	Broderick et al.			
		5164210	11/17/92	Campbell et al.			
		5169657	12/08/92	Yatka et al.			
		5169658	12/08/92	Yatka et al.			
		5174514	12/29/92	Prodi			
		5176900	01/05/93	White, Jr. et al.			
		5198251	03/30/93	Song et al.			
		5202112	04/13/93	Prencipe et al.			
		5208009	05/04/93	Gaffar et al.			
		5227182	07/13/93	Song et al.			
		5229148	07/20/93	Copper			
		5240710	08/31/93	Bar-Shalom et al.			
		5256402	10/26/93	Prencipe et al.			
		5273741	12/28/93	Gaffar et al.			
		5300283	04/05/94	Prencipe et al.			
		5334375	08/02/94	Nabi et al.			
		5336509	08/09/94	McGrew et al.			
		5352439	10/04/94	Norfleet et al.			
		5380530	01/10/95	Hill			
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			
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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				Docket Number (Optional) (020001)-07-LAV		Application Number 10/719,602	
				Applicant(s) Samantha K. Holme et al.			
				Filing Date November 21, 2003		Group Art Unit 1614	
				<b>U.S. Patent Documents</b>			
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5385729	01/31/95	Prencipe et al.			
		5391315	02/21/95	Ashkin			
		5413799	05/09/95	Song et al.			
		5415880	05/16/95	Song et al.			
		5437876	08/01/95	Synosky et al.			
		5462754	10/31/95	Synosky et al.			
		5498378	03/12/96	Tsaur et al.			
		5501864	03/26/96	Song et al.			
		5503823	04/02/96	Norfleet et al.			
		5505933	04/19/96	Norfleet et al.			
		5532004	07/02/96	Bell et al.			
		5582816	12/10/96	Mandanas et al.			
		5589160	12/31/96	Rice			
		5603920	02/18/97	Rice			
		5618517	04/08/97	Miskewitz			
		5629035	05/13/97	Miskewitz			
		5645821	07/08/97	Libin			
		5651958	07/29/97	Rice			
		5658553	08/19/97	Rice			
		5676932	10/14/97	Wason et al.			
		5698215	12/16/97	Kalili et al.			
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*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5702687	12/30/97	Miskewitz			
		5713738	02/03/98	Yarborough			
		5716601	02/10/98	Rice			
		5736175	04/07/98	Cea et al.			
		5756074	05/26/98	Ascione et al.			
		5789002	08/04/98	Duggan et al.			
		5800848	09/01/98	Yatka et al.			
		5824291	10/20/98	Howard			
		5869028	02/09/99	McGill et al.			
		5879728	03/09/99	Graff et al.			
		5912007	06/15/99	Pan et al.			
		5939051	08/17/99	Santalucia et al.			
		6056992	05/02/00	Lew			
		6190644	02/20/01	McClanahan et al.			
		6238690	05/29/01	Kiefer et al.			
		6239690	05/29/01	Burbidge et al.			
		6261540	07/17/01	Nelson			
		6290933	09/18/01	Durga et al.			
		6365209	04/02/02	Cherukuri			
		6379654	04/30/02	Gebreselassie et al.			
		6416744	07/09/02	Robinson et al.			
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				<b>U.S. Patent Documents</b>			
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6428827	08/06/02	Song et al.			
		6471945	10/29/02	Luo et al.			
		6475469	11/05/02	Montgomery			
		6479071	11/12/02	Holme et al.			
		6485739	11/26/02	Luo et al.			
		6506366	01/14/03	Leinen et al.			
		6534091	03/18/03	Garces Garces et al			
		6555145	04/29/03	Cherukuri			
		6685916	02/03/04	Holme et al.			
		6692778	02/17/04	Yatka et al.			
		6696044	02/24/04	Luo et al.			
		6759066	07/06/04	Savage et al.			
		7022314	04/04/06	Barabolak			
		5370881	12/06/94	Fuisz			
		6730291	05/04/04	Lawlor			
		6926916	08/09/05	Day et al.			
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<b>FOREIGN PATENT DOCUMENTS</b>								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		98113552.8	21/10/98	CN			X	
		0067595	22/12/82	EP				
		0252374	13/01/88	EP				
		0255260	03/02/88	EP				
		0434321	26/06/91	EP				
		0453397	23/10/91	EP				
		2001206969		JP				
		2002083030		JP				
		2000035296	22/06/00	WO				
		2000035298	22/06/00	WO				
		2000035398	22/06/00	WO				
		2000075274	14/12/00	WO			X	
		2002055649	18/07/02	WO			X	
		2003020047	13/03/03	WO				
		2003039503	15/05/03	WO				
		2005013712	12/02/05	WO				
		2005051427	09/06/05	WO				
		1988000463	28/01/88	WO				
		1992006160	15/04/92	WO				
		1995033034	07/12/95	WO				
		1996019193	27/06/96	WO				
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				<b>FOREIGN PATENT DOCUMENTS</b>				
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		1997002009	23/01/97	WO			X	
		1997002011	23/01/97	WO				
		1998003076	29/01/98	WO				
		1998018339	07/05/98	WO			X	
		1998023165	04/06/98	WO				
		1998029088	09/07/98	WO				
		1999027798	10/06/99	WO				
		1999043294	02/09/99	WO				
		1999043924	02/09/99	WO				
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		Applicant(s) Samantha K. Holme et al.	
		Filing Date November 21, 2003	Group Art Unit 1614
		<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>	
		Prencipe et al.; Squeezing out a better toothpaste; Chemtech, December 1995; <a href="http://pubs.acs.org/hotartcl/chemtech/95/dec/dec.html">http://pubs.acs.org/hotartcl/chemtech/95/dec/dec.html</a> ; printed 4/20/04; pp 1-7.	
		Gantrez® AN; ISP Polymers for Oral Care; <a href="http://www.ispcorp.com/products/oralcare/content/brochure/oral/prod.html">http://www.ispcorp.com/products/oralcare/content/brochure/oral/prod.html</a> ; printed 6/9/04, pp 1-5.	
		Demmers et al.; Effect of Surfactants and Proteolytic Enzymers on Artificial Calculus Formation; Surfactants and Enzymes: Calculus; pp 28-35.	
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